



Databases are categories

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Introduction	My background
Categories and Functors	Need for coherence
Databases	Role of Mathematics
Databases as categories	Category theory
Conclusion	In this talk

My background

- Coming to mathematics.
- Coming to category theory.
- Coming to information science.



Need for coherence

- The world of information suffers from lack of coherence.
 - Databases are incompatible;
 - Vocabularies are mismatched;
 - Different systems do not work together.
- Need an overarching framework.
 - Standards can alleviate this type of problem.
 - Need a standard for information of all types.
 - A standard for information must be flexible yet rigorous.



Role of Mathematics

- Mathematics is a powerful language.
 - In science, strongest findings are mathematical.
 - In computer science, math gives firmest foundation. Examples
 - Functional programming;
 - Lambda calculus;
 - Trees, graphs;
 - Relational databases.
 - Mathematics offers “high assurance”!
- Can mathematics model information itself?



Category theory

- History
 - Invented in 1941 to relate geometry and algebra.
 - Considered at first to be too abstract,
 - Now dominating mathematical literature.
- Current role: modeling mathematics
 - Category theory gets to the heart of what is being modeled.
 - Different categories can be related by functors.
 - Functors are maps that preserve relationships.
- Branching out:
 - Now useful in computer science, physics, and linguistics.
- Similar in feeling to Haskell.
- “Correctness in complexity.”



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In this talk

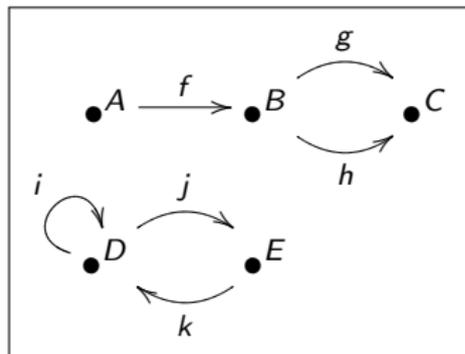
Categories = Database schemas

- I will show that a category \mathcal{C} is just a database schema.
- The data itself is given by a functor $F: \mathcal{C} \rightarrow \mathbf{Set}$.
- Thus categories are not so foreign to computer scientists and databases are not so foreign to mathematicians.



Categories

- Idea: A category models objects of a certain sort and the relationships between them.

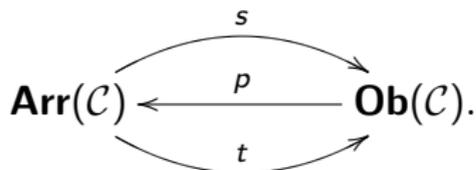


- Think of it like a graph: the nodes are objects and the arrows are relationships.
- Some paths can be equated with others (example: $j.k = i^3$).



Definition of a category \mathcal{C}

- A set of objects, $\mathbf{Ob}(\mathcal{C})$, and a set of arrows $\mathbf{Arr}(\mathcal{C})$.
- Each arrow has a source and target object; every object has a primary arrow (also called an “identity arrow”):



- Composition data: paths are arrows.

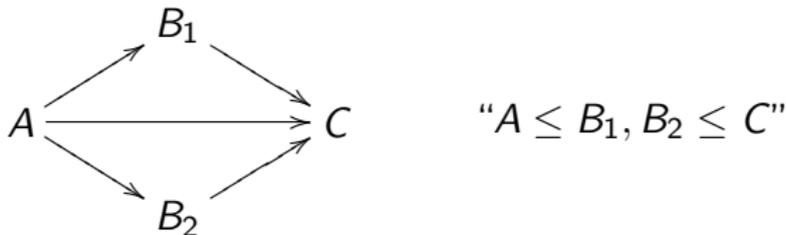
$$A \xrightarrow{f} B \xrightarrow{g} C.$$
$$f.g$$

- Associative law: $(f.g).h = f.(g.h)$
- Identity law for any $A \xrightarrow{f} B$, $p(A).f = f = f.p(B)$.

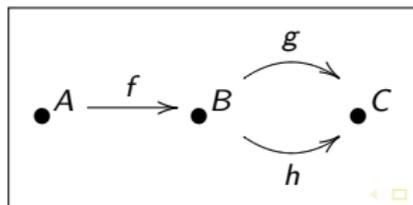


Examples of categories

- **Set**. Objects are sets, arrows are functions.
- **Hask**. Objects are Haskell data-types, arrows are functions.
- Partial orders, e.g.:



- Graphs: Any graph can be turned into a category using a “free” composition law – make each path a new arrow.





Monoids

- A monoid is a category with one object but possibly many arrows.
- If M is a monoid, $\mathbf{Arr}(M)$ is a set with a unit and multiplication law.

- Example: $(\mathbb{N}, *) = \boxed{\begin{array}{c} n \\ \curvearrowright \\ \bullet \end{array}}$
 - Composition law is given by $n * m = nm$, e.g. $2 * 3 = 6$.
 - $\mathbf{Arr}(\mathbb{N}, *) = \mathbb{N}$, the set of natural numbers.



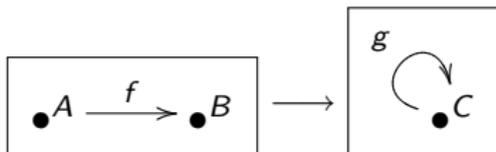
Functors

- Idea: A functor is a graph morphism that is required to respect the composition law.
- Definition: A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ consists of
 - A function $\mathbf{Ob}(F): \mathbf{Ob}(\mathcal{C}) \rightarrow \mathbf{Ob}(\mathcal{D})$ and
 - a function $\mathbf{Arr}(F): \mathbf{Arr}(\mathcal{C}) \rightarrow \mathbf{Arr}(\mathcal{D})$,that respect
 - the source and target of every arrow,
 - the primary arrow of every node, and
 - the composition law.



Examples of functors

- For any category \mathcal{C} , the identity functor $\text{id}_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$.
- $\{*\}: \mathcal{C} \rightarrow \mathbf{Set}$. Everything in \mathcal{C} is sent to the one-point set.
- $\text{Inst}: \mathbf{Hask} \rightarrow \mathbf{Set}$. “Instances” for each data type.



- \mathbf{Cat} is the category whose objects are categories and morphisms are functors.
 - $\mathbf{Set} \rightarrow \mathbf{Cat}$.
 - $\mathbf{Poset} \rightarrow \mathbf{Cat}$
 - $\mathbf{Graph} \rightarrow \mathbf{Cat}$.



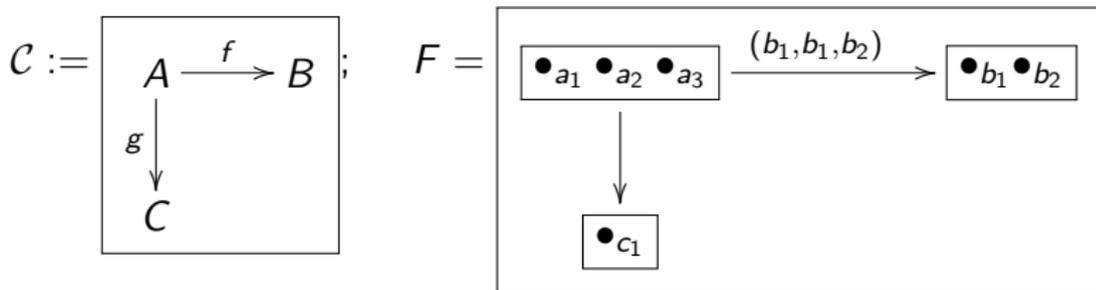
M-sets

- If M is a monoid, a functor $F: M \rightarrow \mathbf{Set}$ is called an M -set.
 - The image of the unique object of M is a set, say S ,
 - And each arrow $m \in \mathbf{Arr}(M)$ gives a function $S \rightarrow S$.
 - We call this function the *action* of m on S .
- Example: Finite state automaton..
 - S is the set of states,
 - M is the monoid of strings in the alphabet,
 - A curried version of F acts as the state transition function.
- There is a canonical functor $M \rightarrow \mathbf{Set}$, “ M as an M -set”
 - The set $S = \mathbf{Arr}(M)$,
 - And the action is given by left multiplication.

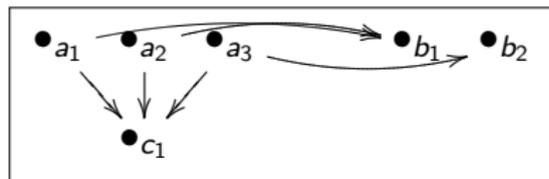


Turning a functor into a category

- The Grothendieck construction.
- A functor $F: \mathcal{C} \rightarrow \mathbf{Set}$, “a model and its instances.”
- Example:



- $Gr(F)$ is the category of instances:





What is a database?

- A database consists of a bunch of tables and relationships between them.
- The rows of a table are called “records,” “tuples,” or “instances.”
- The columns are called “attributes.”
- Columns may be “pure data” or may be “keys.”
 - We take the convention that every table has a distinguished **Primary Key** column.
 - A table may have “foreign key columns” that link it to other tables.
 - A foreign key of table A links into the primary key of table B .



Foreign Keys

- Example:

Employee				
Emp_Id	First	Last	Mgr	Dpt
101	David	Hilbert	103	q10
102	Bertrand	Russell	102	x02
103	Alan	Turing	103	q10

Department		
Dept_Id	Name	Secr'y
q10	Sales	101
x02	Production	102

- Note the primary key columns and foreign key columns.
- Perhaps we should enforce certain integrity constraints:
 - The manager of an employee E must be in the same department as E ,
 - The secretary of a department D must be in D .



Data columns as foreign keys

- Theoretically we can consider a data-type as a 1-column table.
- Example:

Char(4)
aaaa
aaab
.
.
Alan
Alao
.
.

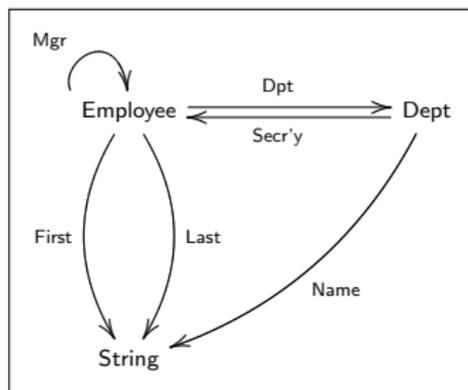
- So any data column can be considered a foreign key to a 1-column table.
- Conclusion: each column in a table is a key – one primary, the rest foreign.



Example again

Employee				
Emp_Id	First	Last	Mgr	Dpt
101	David	Hilbert	103	q10
102	Bertrand	Russell	102	x02
103	Alan	Turing	103	q10

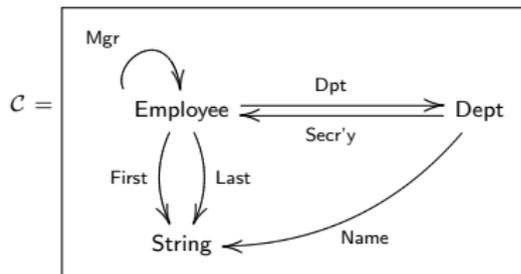
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Database schema as a category

- A database schema is a system of tables linked by foreign keys.
- This is just a category!

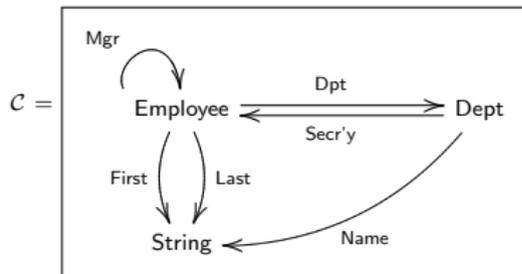


- Objects are tables, arrows are columns.
- Primary key column of a table is primary arrow of an object.
- Declaring integrity constraints (e.g. $\text{Mgr} \cdot \text{Dpt} = \text{Dpt}$) is declaring composition law.



Schema=Category, Data=Functor

- Let



$$\text{Mgr.Dpt} = \text{Dpt};$$

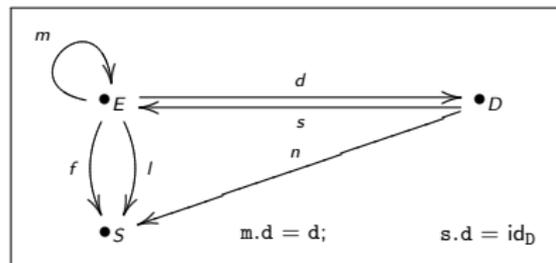
$$\text{Secr'y.Dpt} = \text{id}_{\text{Dept}}$$

- A functor $F: \mathcal{C} \rightarrow \mathbf{Set}$ consists of
 - A set for each object of \mathcal{C} and
 - a function for each arrow of \mathcal{C} , such that
 - the declared equations hold
- In other words, F fills the schema with data.

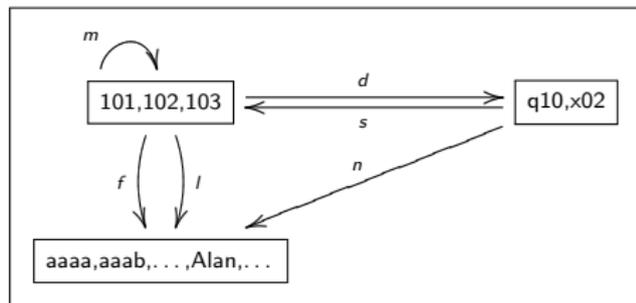


Data as a functor

$\mathcal{C} =$



$F: \mathcal{C} \rightarrow \mathbf{Set}$



- A category \mathcal{C} is a schema. An object $x \in \mathbf{Ob}(\mathcal{C})$ is a table.
- A functor $F: \mathcal{C} \rightarrow \mathbf{Set}$ fills the tables with compatible data.
- For each table x , the set $F(x)$ is its set of rows.

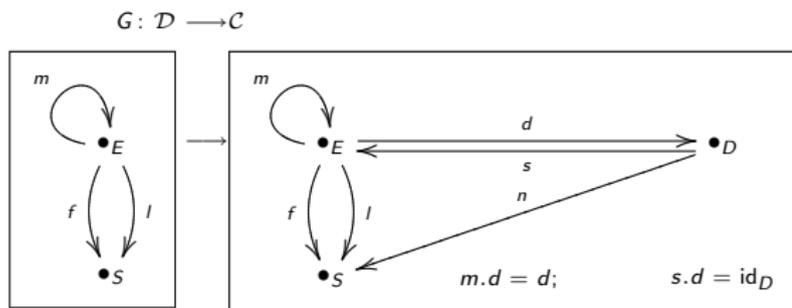


Morphisms of schemas

- Morphisms of schemas are functors, $G: \mathcal{D} \rightarrow \mathcal{C}$.
- We can pull back data along G by way of a functor

$$G^*: \text{Data}_{\mathcal{C}} \rightarrow \text{Data}_{\mathcal{D}}.$$

- For example, if my schema has no “department” table, I can load your data with G^* :



- I can also push data from \mathcal{D} into data on \mathcal{C} in canonical ways.



RDF

- Given a schema \mathcal{C} and data $F: \mathcal{C} \rightarrow \mathbf{Set}$, we can apply the Grothendieck construction to F .
- Every row in the Employee, Department, and String tables becomes a vertex in a new “RDF graph.”
- What are the arrows?
- Answer: each cell in a table becomes an arrow from the current row to the row in the foreign table.

	Last
101	Hilbert





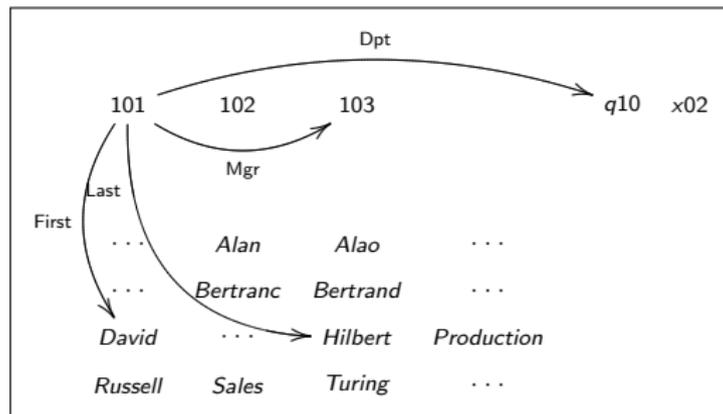
Example RDF

Under the Grothendieck construction, the database

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becomes the RDF graph



(with 16 arrows left out for ease of reading).



Monoids

- Let M be a monoid. What is it as a database schema?
- It's a single table schema with many foreign keys referencing itself. One column for each arrow (element) of M .
- An M -set is a functor $F: M \rightarrow \mathbf{Set}$; a set of records.
- Example: $M = (\mathbb{N}, *)$, Consider M as an M -set.

$(\mathbb{N}, *)$					
1	2	3	4	5	...
1	2	3	4	5	...
2	4	6	8	10	...
3	6	9	12	15	...
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots



Integrating disparate fields

- The purpose of a category is to distill the essence of a certain topic.
- This is also the goal of a database schema.
- In this talk we learned that categories and database schemas are the same thing.
- By integrating databases and category theory, we have linked two very different disciplines.
- These two disciplines can learn and benefit from one another.



A mathematical foundation for databases

- The usual logical foundation of databases is not sufficient for comparing different databases – too many levels upon levels.
- Category theory is designed for levels upon levels.
- A categorical foundation for databases could be useful in practice.
- Case in point: the immediate connection between relational databases and RDF.



Integrating data and programs

- **Hask** is a category, and $\text{Inst} : \mathbf{Hask} \rightarrow \mathbf{Set}$ is a functor.
- That means **Hask** can be considered a database.
 - Each type A can be considered a table:
 - a function $c : A \rightarrow B$ is a column of A (with values in B),
 - each instance of type A is a row r of table A .
 - The (r, c) cell of table A is the image $c(r) \in B$.
- Any other database can be considered as a category of “user-defined types.”
- Defining Haskell functions on these types connects the user-defined category and the Haskell category.
- Thus databases and programs can be smoothly integrated.