

# CATEGORICAL INFORMATION THEORY

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ABSTRACT. Classical information theory, as developed by Claude Shannon in 1948, studies how to optimize the quantity of data that can be transmitted across a noisy channel, but it ignores what this information "means". It is clear that information is intended to mean or signify something – this is its only purpose – but how can such a thing as meaning be formalized? In this talk I'll discuss how category theory may be useful in this endeavor. Going further, we may postulate that information is always intended as a communication from one party to another (perhaps from an entity to a later version of itself). In this case we can ask, "what is the relationship between the structure of the information being transferred and the structure of the two communicating parties?" I will outline a possible answer to this question in the form of a category-theoretic communication protocol for transferring information between parties.

No prior knowledge of category theory will be necessary to understand this talk.

### I. Introduction

#### A. What is Information?

1. "Information theory" vs. Meaning
2. Examples – dictionary, engineers schematic diagram, database
3. Information vs. data
4. Relational, not absolute

#### B. Historical context

1. Frege – Begriffsschrift
2. Hilbert: "This formula game is carried out according to certain definite rules, in which the technique of our thinking is expressed. [...] The fundamental idea of my proof theory is none other than to describe the activity of our understanding, to make a protocol of the rules according to which our thinking actually proceeds" (Hilbert, 1928, 475).
3. Dan Kan: "Information is inherently a combinatorial affair." Facts are fit together in appropriate ways to create new facts.

#### C. Category theory

1. History
  - a. Invented to connect topology and algebra
  - b. Became unifying language for lots of math
  - c. Successfully applied in CS – programming languages
2. Algebraic geometry
  - a. Grothendieck and Weil conjectures (proved power of CT)
  - b. Idea: sheaves (hard to talk about without categories)
  - c. Local sections (we'll talk more about this)

#### D. Databases

1. Why Databases? Information!
2. Example – tables connected in a specific way
3. I'll give a simple CT formulation.

#### E. Outline of the talk

1. Basic category theory
2. Databases
3. Change of schema
4. Queries
5. Communication (time permitting)

### II. Basic category theory

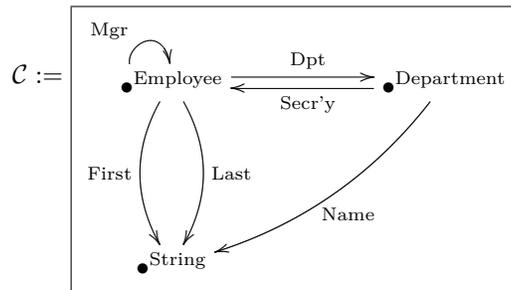
#### A. Categories

1. A category  $\mathcal{C}$  is a graph in which paths can be declared equivalent
    - a. A set  $\mathbf{Ob}(\mathcal{C})$
    - b. A set of arrows  $\mathbf{Arr}(\mathcal{C})$  each with a source and target object.
    - c. An equivalence relation on paths ( $\mathbf{Path}(\mathcal{C})$ ), respecting source and target, and preserved under composition.
  2. Examples of categories
    - a. Random thing.
    - b.  $E \rightrightarrows V$
    - c.  $\mathbf{Set}$  (saturated)
    - d. Topological spaces, manifolds, rings, etc.
- B. Functors
1. A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a mapping between categories
    - a. A map  $\mathbf{Ob}(\mathcal{C}) \rightarrow \mathbf{Ob}(\mathcal{D})$
    - b. A map  $\mathbf{Arr}(\mathcal{C}) \rightarrow \mathbf{Path}(\mathcal{D})$ 
      - (1) If  $\mathcal{D}$  is saturated then we may assume  $\mathbf{Arr}(\mathcal{C}) \rightarrow \mathbf{Arr}(\mathcal{D})$ .
    - c. Equivalent paths in  $\mathcal{C}$  are sent to equivalent paths in  $\mathcal{D}$ .
  2. Examples
    - a.  $\mathbf{Rings} \rightarrow \mathbf{Set}$
    - b.  $E \rightrightarrows V \rightarrow \boxed{N \curvearrowright}$
    - c.  $E \rightrightarrows V \rightarrow \mathbf{Set}$ . (Draw tables)
    - d.  $\boxed{N \curvearrowright} \rightarrow \mathbf{Set}$

### III. Databases

#### A.

(1)



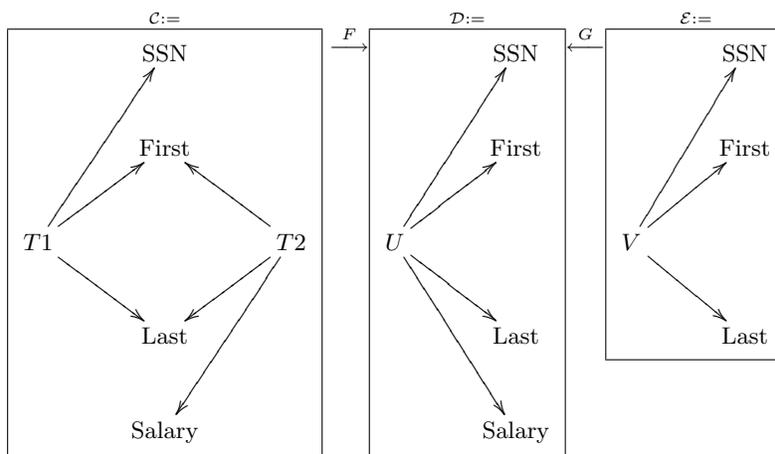
- B.  $\mathcal{C}\text{-Set}$  is a topos
1. Morphisms are called natural transformations
  2. Subobjects, unions, products, quotients
  3. Logic (typed lambda calculus)
- C. The graph example  $E \rightrightarrows V$  in terms of databases
1. Morphisms of graphs

#### IV. Morphism of schemas

- A. Example:  $E \rightrightarrows V \rightarrow \boxed{N \curvearrowright}$
1. Pulling back data
  2. Pushing forward data?
- B. For every functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  exists
1.  $\Delta_F: \mathcal{D}\text{-Set} \rightarrow \mathcal{C}\text{-Set}$ ,
  2.  $\Sigma_F: \mathcal{C}\text{-Set} \rightarrow \mathcal{D}\text{-Set}$ , and
  3.  $\Pi_F: \mathcal{C}\text{-Set} \rightarrow \mathcal{D}\text{-Set}$ .
- C. DB example

1.

(2)



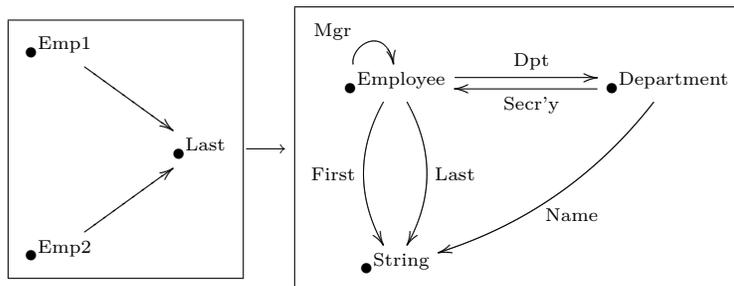
V. Queries

A. Grothendieck construction

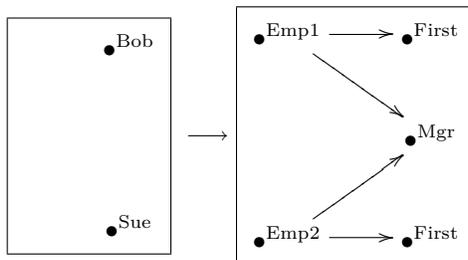
1. Given  $\delta: \mathcal{C} \rightarrow \mathbf{Set}$ , get  $\int \delta \rightarrow \mathcal{C}$
2. Objects:  $\{(c, x) | c \in \mathbf{Ob}(\mathcal{C}), x \in \delta(c)\}$
3. An arrow  $(c, x) \rightarrow (c', x')$  for every  $f: c \rightarrow c'$  such that  $f(x) = x'$ .
4. In other words, objects are id-cells, arrows are other cells.
5. The fiber over each object is its set of instances.

B. Local sections

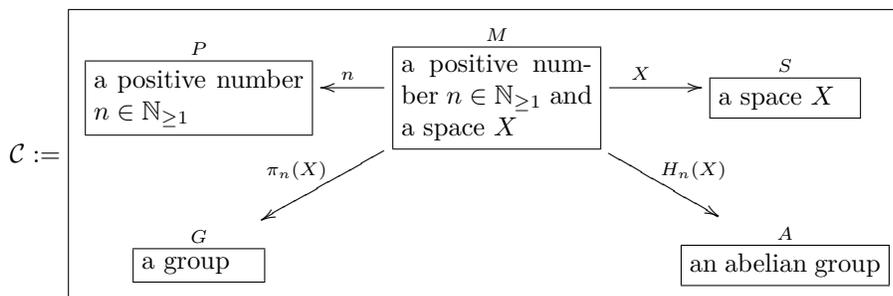
1. E.g.



2. A lift is the same as two employees with same last name.
3. Find two employees, one named Bob, one named Sue, that have the same manager:



4. Same idea: I have a topological space  $X$ . I know that  $\pi_1(X) = \pi_2(X) = 0, \pi_3(X) = \mathbb{Z}$  and  $H_1(X) = 0$ .



5. Like integer sequence database.

## VI. Communication

### A. Basic idea:

1. Everyone has a schema and data
2. Interacting groups have their own schema and data mapping to each subgroup
3. A simplicial complex of interactions.

### B. There is a nice model for communicating new information

1. Can be done within category theory.
2. See me afterwards if interested.