

# Monadic Decision Processes for Hierarchical Planning

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## I. Introduction

- A. Hierarchical planning 3 mins
- B. Markov decision processes 3 mins
  - 1. Generalization:  $\Sigma \times S \rightarrow \text{Dist}(S \times \mathbb{R})$
  - 2. Reinforcement learning
  - 3. We won't do any optimization today, just understand framework
- C. Plan 2 mins
  - 1. Background
  - 2.  $M$ -MDPs via monoids and monoidal monads
  - 3. Category of  $M$ -MDPs for hierarchical planning

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## II. Background

- A. Monoids and actions 3 mins
  - 1. Monoid  $\mathcal{A}$  as category with one object
  - 2. Functor  $\mathcal{A} \rightarrow \text{Set}$  as action
- B. Monads on  $\mathcal{C}$ 
  - 1. Definition 2 mins
  - 2. Examples: 5 mins
    - a. List
    - b. Dist
    - c.  $\mathbb{R}_{\geq 0}^{\oplus X}$  “populations” = “formal  $\mathbb{R}$ -linear combinations of elements in  $X$ ”,  
e.g.  $3x_1 + 4.7x_4$ .
    - d.  $X \mapsto X \times R$  for any monoid  $R$
    - e.  $X \mapsto X + E$  for any set  $E$
    - f.  $X \mapsto X^T$  for any set  $T$
    - g.  $X \mapsto \text{Dist}(X \times \mathbb{R})$
- C. Kleisli category  $\text{Kls}_M$ 
  - 1. Definition 3 mins
  - 2. MDPs as maps in  $\text{Kls}_{\text{Dist}(- \times \mathbb{R})}$  2 mins

### III. $M$ -DPs via monoids and monoidal monads

A. MDPs as functors  $T: \mathcal{A} \rightarrow \mathbf{Kls}_{\text{Dist}(- \times \mathbb{R})}$  3 mins

1. Unpacking:
  - a. a set  $S$
  - b. a monoid  $(A, *, e)$ ,
  - c. a function  $T: A \rightarrow \mathbf{Set}(S, M(S))$
  - d. i.e. a function  $T: A \times S \rightarrow M(S)$
  - e. satisfying

$$\begin{array}{ccc}
 S & \xrightarrow{\eta} & MS \\
 \cong \downarrow & & \uparrow T \\
 \underline{1} \times S & \xrightarrow{e \times S} & A \times S
 \end{array}
 \qquad
 \begin{array}{ccc}
 A \times A \times S & \xrightarrow{* \times \underline{1}} & A \times S \xrightarrow{T} MS \\
 e \times T \downarrow & & \uparrow \mu \\
 A \times MS & \xrightarrow{\gamma_{A,S}} & M(A \times S) \xrightarrow{MT} M^2 S
 \end{array}$$

2. Case  $\mathcal{A} = \mathbf{List}(\Sigma)$  2 mins
  - a. Then  $T$  is equivalently a set  $S$  and a function  $\Sigma \times S \rightarrow M(S)$ .
3.  $\mathcal{A}$  is not necessarily free 2 mins
  - a. Eg.  $\mathcal{A} = \mathbb{Z} \times \mathbb{Z}$  grid walk is a group.
  - b. Want to ensure  $T(a * a')$  is “do  $a$  then do  $a'$ ”.
  - c. E.g. want to ensure the undo operation really does undo.

B. Other monads 4 mins

1. Identity
2. Deterministic with reward
3. No rewards, other kinds of rewards
4. Populations
5. Possibilistic rather than probabilistic
6. Exceptions
7. Tasks  $X \mapsto X^T$

### IV. Maps of $M$ -DPs for hierarchical planning

A. Morphisms

1. Given  $T': A' \times S' \rightarrow M(S)$  and  $T: A \times S \rightarrow M(S)$ , what’s a map? 1 min
2. One answer in two pieces 2 mins
  - a. “a coarse-graining”  $g: S' \rightarrow S$  and
  - b. “for every high-level action and low-level state, a low-level action”, a planner:  $p: A \times S' \rightarrow A'$ .
  - c. This is called a lens (or bilens)  $\binom{S'}{A'} \rightarrow \binom{S}{A}$ .
3. How should  $A'$  relate to  $A$ ?

a. The obvious looks **too strong / unrealistic**. 3 mins

$$\begin{array}{ccccc}
 A \times S' & \xrightarrow{A \times \Delta_{S'}} & A \times S' \times S' & \xrightarrow{p \times S'} & A' \times S' & \xrightarrow{T'} & M(S') \\
 A \times g \downarrow & & & & & & \downarrow M(g) \\
 A \times S & \xrightarrow{\quad T \quad} & & & & & M(S)
 \end{array} \quad (1)$$

b. Alternative: 5 mins

- (1) Assume  $M$  monoidal
- (2) Keep  $g: S' \rightarrow S$  and  $p: A \times S' \rightarrow A'$ .
- (3) Add “sampling” map  $\sigma: S \rightarrow M(S')$  with  $\sigma \circ M(g) = \eta$ , and
- (4) ask the following to commute:

$$\begin{array}{ccc}
 A \times S & \xrightarrow{\quad T \quad} & M(S) \\
 A \times \sigma \downarrow & & \uparrow M(g) \\
 A \times M(S') & \rightarrow & M(A \times S' \times S') \xrightarrow{M(p \times S')} M(A' \times S') \xrightarrow{\mu(M(T'))} M(S')
 \end{array}$$

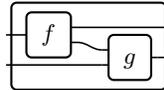
- (5) It is tedious but “straightforward” to check that this is a cat.

## B. Symmetric monoidal structure

1.  $M$ -MDP is a symmetric monoidal category. 3 mins

- a. Given  $\mathcal{A} \rightarrow \text{Kls}_M$  and  $\mathcal{B} \rightarrow \text{Kls}_M$
- b. Get  $\mathcal{A} \times \mathcal{B} \rightarrow \text{Kls}_M \times \text{Kls}_M \xrightarrow{\otimes} \text{Kls}_M$ .
- c. Idea: two MDPs in parallel

2. Wiring diagram 4 mins



- a. Each wire is an  $M$ -MDP
- b. Box: how to perform any tuple of high-level actions as tuple of low-level actions
- c. WD: imagine low-level states at left, high-level actions right

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