

Categorical Interaction in the Polynomial Ecosystem

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Seminar on Categorical Interaction
2022 January 25

Outline

1 Introduction

- Categorical interaction
- The lens pattern
- Plan of the talk

2 ACT topics in the Polynomial Ecosystem

3 Conclusion

Why am I here?

My sense is that category theory has the capacity to **change the world**.

- It does so not by control, but by **deep understanding**.
- It helps us **find the right abstractions** to fit a given subject.
- These abstractions help the problem relax to become its own solution.

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Mathematical subjects are accounting systems.

- In finance, we use math to account for how money is exchanged.
- In physics, we use math to account for how matter changes and moves.
- In games of chance, we use math to account for likelihoods.

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I want a system that can account for the **complexity** of today's world.

- In particular, we need an accounting system for *interaction*.
- Humans, animals, hardware, software, cells, viruses, organizations: ...
- ... these things interact in a complex but highly-structured world.
- My bet: if we **find the right abstractions**, mistaken tensions will **relax**.

An emerging subfield of ACT

ACT researchers studying interaction have begun to notice something weird.

- Many of the mathematical tools we're using seem to rhyme.
- There's a kind of "forwards-backwards loopy pattern" we keep seeing.
- Lenses, open games, dynamical systems, wiring diagrams all have it.

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This pattern is emerging as a subfield of ACT in its own right.

- Many people are looking at the same elephant from different angles.
- We started this seminar series to aim more directed attention at it.

Before we discuss the pattern, let's talk about categorical interaction.

What sorts of interaction are we talking about?

There are many systems in the world that could be said to “interact” .

- Database systems are queried and migrate data to each other.
- Software systems are organized into programs that call each other.
- Dynamical systems interact in large-scale cybernetic circuits.
- Neural network architectures are built out of interacting neurons.
- Economic systems involve interacting traders exchanging resources.

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ACT has formalisms to account for each one, and they all have *the pattern*.

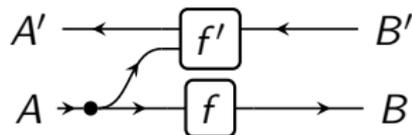
The lens pattern

Definition

There is a category **Lens** whose objects are pairs of sets

$$\text{Ob}(\mathbf{Lens}) := \text{Ob}(\mathbf{Set} \times \mathbf{Set}), \quad \text{denoted } \begin{bmatrix} A' \\ A \end{bmatrix}$$

and for which a morphism $\begin{bmatrix} A' \\ A \end{bmatrix} \rightarrow \begin{bmatrix} B' \\ B \end{bmatrix}$ consists of a pair (f, f') where



i.e. $f: A \rightarrow B$ and $f': A \times B' \rightarrow A'$.

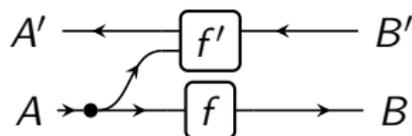
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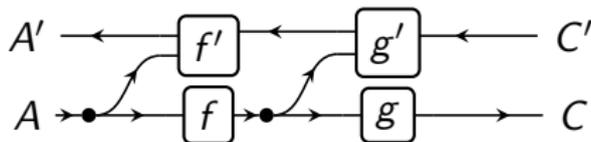
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i.e. $f: A \rightarrow B$ and $f': A \times B' \rightarrow A'$. Composition is:



Understanding the lens pattern

In this talk we will give many examples of the lens pattern: namely in

- functional programming,
- open dynamical systems,
- wiring diagrams,
- deep learning,
- open games, and
- databases.

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But we want to also understand **Lens** ($\left[\begin{smallmatrix} A' \\ A \end{smallmatrix} \right], \left[\begin{smallmatrix} B' \\ B \end{smallmatrix} \right]$) mathematically.

- One way is to use the notion of optics. Namely

$$\mathbf{Lens} \left(\left[\begin{smallmatrix} A' \\ A \end{smallmatrix} \right], \left[\begin{smallmatrix} B' \\ B \end{smallmatrix} \right] \right) = \int^{M \in \mathbf{Set}} \mathbf{Set}(A, B \times M) \times \mathbf{Set}(B' \times M, A')$$

Others in this seminar series will go into depth on this approach.

- I will focus on another approach: *polynomial functors*.

Polynomial functors

A functor $p: \mathbf{Set} \rightarrow \mathbf{Set}$ is *polynomial* if it is a **coproduct of representables**.

- Taking **all natural transformations** as maps, we get a category **Poly**.
- I denote objects in it like this: $p := y^5 + 3y^2 + 7$.
- For example, $p(0) \cong 7$, $p(1) \cong 11$, and $p(2) \cong 51$.
- Let's call p a *monomial* if it is of the form $p \cong Ay^{A'}$, e.g. $5y^{73}$.

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Theorem

There is an isomorphism of categories

$$\mathbf{Lens} \cong \mathbf{Poly}_{\text{Monomial}}$$

where $\mathbf{Poly}_{\text{Monomial}}$ is the full subcategory spanned by the monomials.

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Theorem

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where $\mathbf{Poly}_{\text{Monomial}}$ is the full subcategory spanned by the monomials.

In other words, a **Poly** map $Ay^{A'} \rightarrow By^{B'}$ is a **Lens** map $\left[\begin{smallmatrix} A' \\ A \end{smallmatrix} \right] \rightarrow \left[\begin{smallmatrix} B' \\ B \end{smallmatrix} \right]$.

Polynomial functors are amazing

Again, both optics and polynomials explain the weird **Lens** pattern.

- I'll let others explain the virtues of optics.
- Today I'll tell you why you should get to know polynomials.

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- I'll let others explain the virtues of optics.
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The quickest answer is that **Poly** has an unreasonable amount of structure:

- Coproducts and products that agree with usual polynomial arithmetic;
- All limits and colimits;
- Three orthogonal factorization systems;
- A symmetric monoidal structure \otimes distributing over $+$;
- A cartesian closure q^p and monoidal closure $[p, q]$ for \otimes ;
- Another nonsymmetric monoidal structure \triangleleft that's duoidal with \otimes ;
- A left \triangleleft -coclosure $[-]$, meaning $\mathbf{Poly}(p, q \triangleleft r) \cong \mathbf{Poly}([r]_p, q)$;
- An indexed right \triangleleft -coclosure, i.e. $\mathbf{Poly}(p, q \triangleleft r) \cong \sum_{f: p(1) \rightarrow q(1)} \mathbf{Poly}(p \overset{f}{\frown} q, r)$;
- \triangleleft -monoids generalize plain operads;
- \triangleleft -comonoids are exactly categories.

But our main point today is to show how it's useful in studying interaction.

Plan for today's talk

Today I'll discuss some ACT topics that fit into the polynomial ecosystem:

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Here's the strategy:

- We'll introduce new parts of **Poly** as needed.
- If you're less interested in or missing background for one topic,...
- ... fear not: we will move onto the next one within a few slides.
- Slides are fairly packed, intended to be readable as a handout.

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2 ACT topics in the Polynomial Ecosystem

- Functional programming
- Open dynamical systems
- Wiring diagrams and interaction patterns
- Deep learning
- Open games
- Databases

3 Conclusion

Polymorphic data types and maps

In functional languages such as Haskell, you often see things like this:

```
data Foo y = Bar y y y | Baz y y | Qux | Quux
data Maybe y = Just y | Nothing
```

- These are polynomials: $y^3 + y^2 + 2$ and $y + 1$ respectively.
- They're "polymorphic" in that
 - they act on any Haskell type Y in place of the variable y , and
 - for any map $f : Y1 \rightarrow Y2$ there's a map $\text{Foo } Y1 \rightarrow \text{Foo } Y2$

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What is a natural transformation $\text{Corge} : \text{Foo} \rightsquigarrow \text{Maybe}$?

- To each type constructor (Bar , Baz , Qux , Quux) in $\text{Foo} \dots$
- \dots it assigns a type constructor (Just or Nothing) in Maybe, \dots
- \dots and a way to grab as many y 's as Maybe needs from Foo 's term.

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- ... and a way to grab as many y 's as Maybe needs from Foo 's term.

There are $12=6+3+2+1$ ways to do it. Three examples:

```
Corge (Bar a b c)=Just a;   Corge (Baz a b)=Just a;   Corge Qux=Nothing;   Corge Quux=Nothing
Corge (Bar a b c)=Just b;   Corge (Baz a b)=Just a;   Corge Qux=Nothing;   Corge Quux=Nothing
Corge (Bar a b c)=Nothing;  Corge (Baz a b)=Just b;   Corge Qux=Nothing;   Corge Quux=Nothing
```

Deeper look at objects and morphisms in Poly

Let's slow down and understand **Poly** a little better.

- A representable functor **Set** \rightarrow **Set** is one of the form

$$y^A := \mathbf{Set}(A, -)$$

for example y^2 takes any set Y to $Y \times Y$.

- y^1 is isomorphic to the identity, and y^0 is constant 1.

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- A polynomial functor is a coproduct of representables

$$p := \sum_{i \in I} y^{p[i]}$$

Note that $I \cong p(1)$, so we write $p := \sum_{i \in p(1)} y^{p[i]}$.

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Maps $p \rightarrow q$ are computed using Yoneda and univ. property of coproducts.

$$\begin{aligned} \mathbf{Poly}(p, q) &= \mathbf{Poly}\left(\sum_{i \in p(1)} y^{p[i]}, \sum_{j \in q(1)} y^{q[j]}\right) \\ &\cong \prod_{i \in p(1)} \sum_{j \in q(1)} \mathbf{Set}(q[j], p[i]) \end{aligned}$$

Unpacking in the Haskell case

That might be daunting, but it's pretty easy when you get used to it.

- Let's see another example of a natural transformation.
- Here are two polynomial datatypes, $p := y^3 + y$ and $q := 2y^2 + 1$.

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data p y = pFoo y y y | pBar y
data q y = qFoo y y | qBar y y | qBaz
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- ... for each variable there in q , choose one of the variables in p .

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```
Corge : forall y. p y -> q y
Corge pFoo (a b c) = qBar (b a)  -- Corge is one of
Corge pBar (a)     = qFoo (a a), -- 57 possible maps.
```

Algebraic datatypes

Another thing you see in Haskell is something like this:

```
List a = Nil | Cons a (List a)
```

For some type a , e.g. $a = \text{Int}$. What is going on here?

- This is called an *algebraic data type*.
- It looks like `List a` is being defined recursively, in terms of itself.
- But we can break it into two pieces: a functor and its fixed points.

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- Polynomial functors have initial algebras and final coalgebras.
 - That is, there is an initial $S \in \mathbf{Set}$ equipped with $p(S) \rightarrow S$.
 - And there is a final $T \in \mathbf{Set}$ equipped with $T \rightarrow p(T)$.
- The initial algebra of p_A is carried by $\sum_{n \in \mathbb{N}} A^n$, classic lists.
- The terminal coalgebra of p_A is carried by $A^{\mathbb{N}} + \sum_{n \in \mathbb{N}} A^n$, streams.

Various notions of dynamical system

Moving on, there are many reasonable definitions of dynamical system.

- Fix a monoid $(T, 0, +)$. Then a T -Dyn. Sys. is a T -action on $S \in \mathbf{Set}$.
- For example, an action $\mathbb{R} \times S \rightarrow S$ let's you evolve s by any $t \in \mathbb{R}$.
- We'll briefly return to this sort later, but it's not quite satisfactory.
- I want **open dynamical systems**, ones that can interact with others.

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$$A \text{ --- } \boxed{S \circlearrowleft} \text{ --- } B$$

Let A, B be sets or spaces. Notions of (A, B) -dynamical systems include:

- System of ODEs, parameterized by A and reading out B 's.
- Moore machine: a set S and functions $r: S \rightarrow B$ and $u: A \times S \rightarrow S$.
- Mealy machine: a set S and a function $f: A \times S \rightarrow S \times B$.

Dynamical systems in terms of Poly

Let's discuss each of these (saving the monoid action for later).

- For any manifold M , let TM be its tangent bundle.
 - At every point $m \in M$, we have a tangent space T_mM .
 - For example, if $M = \mathbb{R}^n$ then $TM \cong \mathbb{R}^n \times \mathbb{R}^n$ and $T_mM \cong \mathbb{R}^n$.
- Then an A -parameterized system of ODEs reading out B 's is a map:

$$\varphi: \sum_{m \in M} y^{T_mM} \rightarrow By^A$$

Let's think of M as the state space. Then

- for each $m \in M$, we get a readout $\varphi_1(m)$ and ...
- for each $a \in A$, we get a tangent vector $\varphi^\sharp(m, a) \in T_mM$.

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(A, B) -Moore machines are [easier](#).

- A set S and functions $r: S \rightarrow B$ and $u: S \times A \rightarrow S$
- That's the same data a map of polynomials $Sy^S \rightarrow By^A$.
- It's also the same as a By^A coalgebra: $S \rightarrow BS^A$.

Mealy machines

The difference between Moore and Mealy machines involves instantaneity.

- An (A, B) -Moore machine is $S \rightarrow B$ and $A \times S \rightarrow S$.
- An (A, B) -Mealy machine is $A \times S \rightarrow B$ and $A \times S \rightarrow S$.
 - In Mealy, the input A can immediately affect the output B .
 - A Moore machine can be regarded as a Mealy machine (drop A).

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The transformation isn't out of the blue: it comes from monoidal closure.

Monoidal closure of Poly

Poly has a monoidal closed structure $(y, \otimes, [-, -])$.

- Let $p := \sum_{i \in p(1)} y^{p[i]}$ and $q := \sum_{j \in q(1)} y^{q[j]}$
- The *Dirichlet product* $p \otimes q$ has monoidal unit y and is given by:

$$p \otimes q := \sum_{(i,j) \in p(1) \times q(1)} y^{p[i] \times q[j]}$$

We'll use that on the next slide.

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- It has an internal hom $[p, q]$, given by

$$[p, q] := \sum_{\varphi: p \rightarrow q} y^{\sum_{i \in p(1)} q[\varphi_1 i]}$$

That's a lot to take in, so let's try it for $p := Ay^B$ and $q := y$.

- First, a map $\varphi: Ay^B \rightarrow y$ is just a function $A \rightarrow B$.
- Since $p(1) = A$ and $q[!] = 1$, we have $[Ay^B, y] = B^A y^A \cong (By)^A$.

So an $[Ay^B, y]$ -coalgebra $S \rightarrow (BS)^A$ is an (A, B) -Mealy machine.

Wiring diagrams

Let's depict monomials By^A as boxes with A -inputs and B -outputs:

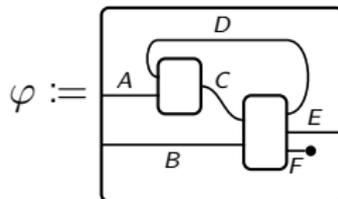
By^A is depicted $A - \square - B$

Wiring diagrams

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$$By^A \text{ is depicted } A \text{ --- } \square \text{ --- } B$$

Here's a picture of a kind of *interaction pattern* called a wiring diagram:



It has two inner boxes and one outer box, and represents a map

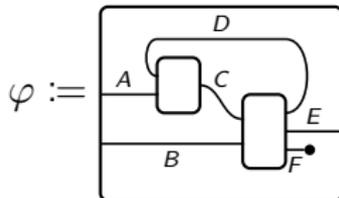
$$\varphi: Cy^{AD} \otimes DEFy^{BC} \rightarrow Ey^{AB}$$

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$$By^A \text{ is depicted } A \text{ --- } \square \text{ --- } B$$

Here's a picture of a kind of *interaction pattern* called a wiring diagram:



It has two inner boxes and one outer box, and represents a map

$$\varphi: Cy^{AD} \otimes DEFy^{BC} \rightarrow Ey^{AB}$$

In other words the picture tells us about two functions:

$$C(DEF) \rightarrow E \quad \text{and} \quad C(DEF)(AB) \rightarrow (AD)(BC)$$

Wiring diagrams allow projection, splitting, and permuting variables.

More general interaction patterns

A polynomial $p = \sum_{i \in p(1)} y^{p[i]}$ can be understood as an interface that

- outputs “positions” $i \in p(1)$ and
- inputs “directions” $d \in p[i]$ that can depend on its position.
- So By^A can output elements of B and input elements of A .
- But $y^2 + y$ is like an eyeball: its positions are open and closed and...
- ... when it's open it receives a bit; when it's closed it receives no bits.

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A *fixed, clocked interaction pattern* of interfaces p_1, \dots, p_k inside p' is a map

$$\varphi: p_1 \otimes \dots \otimes p_k \rightarrow p'$$

A wiring diagram is a very special case. For example, there is only one WD

$$2y^3 \otimes 3y^4 \rightarrow 2y^4$$

but there are $2^6 * 12^{24} \approx 10^{27}$ fixed clocked interaction patterns.

Composition in Poly: removing the clock

Composing polynomials is a monoidal operation $\triangleleft: \mathbf{Poly} \times \mathbf{Poly} \rightarrow \mathbf{Poly}$.

- I denote this functor by \triangleleft , leaving \circ for composition of morphisms.
- It is straightforward, e.g. $y^2 \triangleleft (y + 1) \cong y^2 + 2y + 1$. The unit is y .

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For time purposes, let's skip to an example $p := By^A$ and consider $p^{\triangleleft n}$.

- We can calculate that it is $BB^A B^{AA} \dots B^{A^n} y^{A^n}$. So it ...
- ... outputs a B , functions $A \rightarrow B$, $A^2 \rightarrow B$, ..., and $A^n \rightarrow B$, ...
- ... which we can think of as **strategies** or decision trees, ...
- ... and it inputs a list of n -many A 's.
- The cofree comonoid c_p is the roughly the limit of these over all n .

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Define an (unlocked) fixed interaction pattern of p_1, \dots, p_k inside p' to be

- a map $c_{p_1} \otimes \dots \otimes c_{p_k} \rightarrow p'$. Equivalently, a comonoid map $c_{p_1} \otimes \dots \otimes c_{p_k} \rightarrow c_{p'}$
- This is quite general. Data moves based on strategies not just outputs.
- For any $n > 0$ there is an (associative) map $(By^A)^{\triangleleft n} \rightarrow By^A$.
- So for any WD, we can make any interior box run n -times faster.

Adaptive interaction patterns

We want to remove the fixed nature of interaction patterns.

- That is, we want wiring pattern itself to change through time.
- We might call this “adapting”; we’ll briefly consider “goals” on p. 22.

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Given interfaces p_1, \dots, p_k and p' , we want a changing interaction pattern.

- Let $p := p_1 \otimes \dots \otimes p_k$ and recall the internal hom

$$[p, p'] \cong \sum_{\varphi: p \rightarrow p'} y^{\sum_{i \in p(1)} p'[\varphi_1 i]}.$$

- Its positions are interaction patterns $\varphi: p_1 \otimes \dots \otimes p_k \rightarrow p'$
- And a direction at φ is “the data flowing on all the wires”.
- For example if $p_i = B_i y^{A_i}$ then direction set is always $B_1 \dots B_k A'$.

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So a $[p, p']$ -coalgebra is a Moore machine:

- it outputs interaction patterns and updates based on what’s flowing.
- Define a category-enriched operad $\mathbb{O}\mathbf{rg}$ with objects $\text{Ob}(\mathbf{Poly})$ and...
- ... hom-caty’s $[p_1 \otimes \dots \otimes p_k, p']$ -**coalg**, or $[c_{p_1} \otimes \dots \otimes c_{p_k}, p']$ -**coalg**.
- This is the subject of a paper called *Learners’ languages*.

Deep learning falls out

Artificial neural networks are adaptive organizations in the above sense.

- Let $t := \sum_{x \in \mathbb{R}} y^{T_x \mathbb{R}}$ be the tangent bundle; note $t^{\otimes n} \cong \sum_{x \in \mathbb{R}^n} y^{T_x \mathbb{R}^n}$.
- A $[t^{\otimes n}, t]$ -coalgebra is just a Moore machine with a fancy interface.
 - Let $P := \mathbb{R}^{n+1}$; think of $(b, w_1, \dots, w_n) \in P$ as bias & weights.
 - Then an artificial neuron is a coalgebra $P \rightarrow [t^{\otimes n}, t] \triangleleft P$.
 - For every parameter, we get both a map $\mathbb{R}^n \rightarrow \mathbb{R}$ and ...
 - ... a way to convert any tangent vector on \mathbb{R} (loss)...
 - ... to a tangent vector on \mathbb{R}^n (back propagation) ...
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 - ... as well as a new parameter (by gradient descent).
- The composite of coalgebras in $\mathcal{O}rg$ runs the DNN as usual.
- Weight tying (as in convolution, recurrent, etc.) is as in Backprop AF.

Open games: not quite represented in Poly but close

The category **Game'** has pairs of sets (X, S) as objects and

$$\mathbf{Game}'((X, S), (Y, R)) := [Xy^S, Yy^R]\text{-coalg}$$

Thus a map in **Game'** consists of $\Sigma \in \mathbf{Set}$ and functions

$$\text{Play}: \Sigma X \rightarrow Y \quad \text{and} \quad \text{Coplay}: \Sigma XR \rightarrow S \quad \text{and} \quad \text{Resp}: \Sigma XR \rightarrow \Sigma$$

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The category **Game** replaces the last with $\text{BestResp}: \Sigma XR^Y \rightarrow \mathbf{Sub}(\Sigma)$.

- I **suspect** there may be two interesting functors $\mathbf{Game}' \rightarrow \mathbf{Game}$:
- One is singleton: $\text{BestResp}(\sigma, x, r) := \{\text{Resp}(\sigma, x, r(\text{Play}(\sigma, x)))\}$
- The other is subsingleton, given by fixed points of Resp :

$$\sigma' \in \text{BestResp}(\sigma, x, r) \quad \text{iff} \quad \sigma' = \sigma = \text{Resp}(\sigma, x, r(\text{Play}(\sigma, x)))$$

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Consider: should we conceive of a “goal” as a fixed point for a given input?

Categorical databases

A database is a collection of tables whose columns can refer to other tables.

- One way to conceptualize this is as a category \mathcal{C} , “the schema” ...
- ... together with a functor (copresheaf) $D: \mathcal{C} \rightarrow \mathbf{Set}$, “the keys” ...
- ... and one of many possible ways to categorically handle “attributes”.
- This approach to databases has been implemented several times.

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- Data migration means moving data from one schema to another.
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- ... where we assume that the attribute has a comm. monoid structure.

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All of this fits nicely into the **Poly** ecosystem.

Comonoids and bicomodules in Poly

By a theorem of Shulman, comonoids in $(\mathbf{Poly}, y, \triangleleft)$ form an equipment.

- By theorems of Ahman-Uustalu and Garner, it has relevant semantics.
- Its objects are exactly categories, so I call it \mathbf{Cat}^\sharp .
- Its horizontal maps generalize both copresheaves and data migration.
- The subcategory carried by linear polynomials is exactly \mathbf{Span} .
- It contains Gambino-Kock's $\mathbf{PolyFun}_{\mathbf{Set}}$ as a full sub equipment.
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You can define not only data migration but also aggregation in this setting.

- To do so requires all the structures we've discussed so far.
- For example, it turns out that the operation of transposing a span...
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- ... can be split up into two more primitive universal operations.

Finally, keeping an old promise...

- The vertical maps in \mathbf{Cat}^\sharp are called cofunctors.
- If y^T is a monoid, then a cofunctor $Sy^S \rightarrow y^T$ is a T -action on S .
- Using cofree comonoids, dyn. systems are subsumed as “databases”

Outline

- 1 Introduction
- 2 ACT topics in the Polynomial Ecosystem
- 3 **Conclusion**
 - A fountain of ideas and open problems
 - Summary

A fountain of ideas and open problems

Poly spews out open questions and new structures constantly.

- It's **more** than I can collect, more than I can think about.
- I would love to see more people on the case.

Just last week, I found a symmetric monoidal product \vee with unit 0 :

- It has reasonable semantics: $p \vee q := p + p \otimes q + q$ is “ p inclusive-or q ”.
- The functor $p \mapsto p + y: (\mathbf{Poly}, 0, \vee) \rightarrow (\mathbf{Poly}, y, \otimes)$ is strong monoidal.

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- The functor $p \mapsto p + y: (\mathbf{Poly}, 0, \vee) \rightarrow (\mathbf{Poly}, y, \otimes)$ is strong monoidal.
- It **seems** to be duoidal with respect to both \triangleleft and \otimes ,

$$(p_1 \triangleleft p_2) \vee (q_1 \triangleleft q_2) \rightarrow (p_1 \vee q_1) \triangleleft (p_2 \vee q_2)$$

$$(p_1 \otimes p_2) \vee (q_1 \triangleleft q_2) \rightarrow (p_1 \vee q_1) \otimes (p_2 \vee q_2)$$

- The free monad map $p \mapsto \mathfrak{m}_p$ **seems** lax monoidal: $\mathfrak{m}_p \otimes \mathfrak{m}_q \rightarrow \mathfrak{m}_{p \vee q}$.
- These don't appear very hard to check, but they spring up too fast.

Stuff like that happens all the time on both the theory and application side.

Summary

The polynomial ecosystem is very rich.

- It's got an abundance of structure; that's difficult to over-state.
 - I now know of eight different monoidal structures on **Poly**.
 - How many structures are we still missing?

Summary

The polynomial ecosystem is very rich.

- It's got an abundance of structure; that's difficult to over-state.
 - I now know of eight different monoidal structures on **Poly**.
 - How many structures are we still missing?
- **Poly** offers a single setting in which lots of ACT subjects live.
 - Programming, dynam'l systems, deep learning, games, databases.
 - But how do they come together? How should they *interact*?

There's **ton's to do**; please join in the fun!

Thanks! Comments and questions welcome...